

Attorney Docket No.: 02-104910US Client Reference No.: 0137.210US

## **DECLARATION**

As a below named inventor, I declare that:

PCT international filing date of this application:

inventor (if or matter which RESPONSE DEVELOP N	nly one name is listed be it is claimed and for whe PATHWAYS TO ENA NOVEL DISEASE RES	low) or an original, first and tich a patent is sought on <b>BLE THE DEVELOPME</b>	I joint inventor (if plural in the invention entitled: I ENT OF PLANT BASEI the specification of which	believe I am the original, inventors are named below) of EVOLUTION OF PLAN'D BIOLOGICAL SENSOR is attached hereto or applicable).	of the subject <b>F</b> DISEASE RS AND TO
amendment re in accordance Code, Section foreign applie	eferred to above. I ackno e with Title 37, Code of F n 119 of any foreign app	wledge the duty to disclose ederal Regulations, Section lication(s) for patent or inve	information which is mate 1.56. I claim foreign prior entor's certificate listed be	luding the claims, as amerial to the examination of the rity benefits under Title 35, elow and have also identified oplication on which priority is	is application United States ed below any
Tiloi Foieig				Priority Claimed Under	
	Country	Application No.	Date of Filing	35 USC 119	
I hereby clain	A	5, United States Code § 119 oplication No. 60/202,233	P(e) of any United States pr Filing Da May 4, 20		ed below:
subject matter	r of each of the claims of	this application is not disclo	sed in the prior United Star	ication(s) listed below and, tes application in the manne isclose material information	r provided by

Application No.	Date of Filing	Status

Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or

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Full Name of	Full Name:			
Inventor 1:	Michael Lassner			
Residence &	City:	State/Foreign Country:	Country of Citizenship:	
Citizenship:	Foster City	California	US	
Post Office	Post Office Address:			
Address:	891 Balboa Lane, Foster City, California, 94404 USA			
Full Name of				
Inventor 2:	James English			
Residence &	City:	State/Foreign Country:	Country of Citizenship:	
Citizenship:	Burlingame	California	US	
Post Office	Post Office Address:			
Address:	1249 Capuchino Avenue Burlingame, California 94010 USA			
Full Name of	Full Name:			
Inventor 3:	Gusui Wu			
Residence &	City:	State/Foreign Country:	Country of Citizenship:	
Citizenship:	Davis	California	US	
Post Office	Post Office Address:			
Address:	1322 Madra Court, Davis, California 95616 USA			

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1  Michael Lassner	Signature of Inventor 2  James English	Signature of Inventor 3  Gusur Wu
Date 9/10/0/	Date 9/14/01	Date 9/13/01

declaration



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## ASSIGNMENT OF PATENT APPLICATION

**JOINT** 

WHEREAS, Michael Lassner, of 891 Balboa Lane, Foster City, California 94404 USA, James English of 1249 Capuchino Avenue Burlingame, California 94010 USA, Gusui Wu of 1322 Madra Court, Davis, California 95616 USA, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: E

Evolution of Plant Disease Response Pathways to Enable the Development of Plant Based BiOlogical Sensors and to Develop Novel Disease Resistance

Develop Novel Strategies

Filing Date:

May 4, 2001

Application No.:

09/849,452

; and

WHEREAS, Maxygen, Inc., a Delaware corporation, located at 515 Galveston Drive, Redwood City, California 94063 United States of America, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and which claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

0137,21045

Assignment 0137, 210 W Application No.: 09/849,452 Page 2

IN TESTIMONY WHEREOF, Assignors have signed his/her name on the date indicated.

Dated: 4/16 /01	Michael Lassner  Michael Lassner
SIGNATURE WITNESSED BY:  (1) Date: 9/10/01	Signature of Witness 1
Dated:	James English
SIGNATURE WITNESSED BY:  (1) Date: 9/14/01	Signature of Witness 1
Dated: 9/13/01	Gusui Wu
SIGNATURE WITNESSED BY:  (1) Date: 9/13/01	Signature of Witness 1

SEP 2 8 2001 A 304

(Modified) PTO/SB/81 (11-96) Approved for use through 6/30/99. OMB 0651-0035

## WER OF ATTORNEY OR AUTHORIZATION OF AGENT, NOT ACCOMPANYING APPLICATION

Application Number	09/849,452	
Filing Date	May 4, 2001	
First Named Inventor	Michael Lassner	
Group Art Unit	1632	
Examiner Name	Unassigned	
Attorney Docket Number	02-104910US	

I hereby appoint:					
X Practitioners at Customer Number	22798				
1	Type Customer Number	Here 22798			
AND		1 22/00			
X Practitioner(s) named below:		PATENT TRADEMARK OFFICE			
Name	e	Registration Number			
Norman J. Kruse		5,235			
Sharon Fujita	38	8,459			
Joanne Petithory	4:	2,995			
Margaret A. Powers	39	9,804			
as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.  Please change the correspondence address for the above-identified application to:  X The above-mentioned Customer Number.  OR					
Firm or Individual Name					
Address					
Address					
City	Sta	te Zip			
Country					
Telephone	Fax	<			
I am the  Applicant.  X Assignee of record of the entire interest (Certificate under 37 CFR 3.73(b) is enclosed)  SIGNATURE of Applicant or Assignee of Record					
Name Michael S. Rajoson					
Signature Company Comp					
Date 9/14/01					
OFFICIAL COLUMNS					
CERTIFICATE OF MAILING					
I hereby certify that this correspondence is being deposited with the United States as first class mail in an envelope addressed to:  Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:					
Typed or printed name	IN GOMEZ				
Signature Gua	lyn Home	Date 9-17-01			